

07/03/01
jc960 U.S. PTO

USExpress Mail #
EL 125950049 US

07-05-01

A

Practitioner's Docket No. 13429-40254

PATENT

Preliminary Classification:
Proposed Class:
Subclass:

jc821 U.S. PTO
09/898648
07/03/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): James M. Carter
For (title): CARRIER WITH BUILT-IN AMPLIFICATION FOR PERSONAL AUDIO
DEVICE

1. Type of Application

This transmittal is for an original (nonprovisional) application.

CERTIFICATION UNDER 37 C.F.R. SECTIONS 1.8(a) AND 1.10*

(When using Express Mail, the Express Mail label number is **mandatory**;
Express Mail certification is **optional**.)

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37 C.F.R. Section 1.8(a)

37 C.F.R. Section 1.10*

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TRANSMISSION

Date:

July 3, 2001

Signature

Dinah Hooke

Dinah Hooke
(type or print name of person certifying)

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"Since the filing of correspondence under [Section] 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

2. Papers Enclosed

A. Required for filing date under 37 C.F.R. 1.53(b) (Regular) or 37 C.F.R. 1.153 (Design)
Application

10 Page(s) of Specification
4 Page(s) of Claims
10 Sheet(s) of Drawing(s)--Formal

B. Other Papers Enclosed

3 Page(s) of declaration and power of attorney
1 Page(s) of abstract
Information Disclosure Statement

3. Additional Papers Enclosed

Information Disclosure Statement (37 C.F.R. 1.98)

4. Declaration or Oath

Enclosed and Executed by: inventor.

5. Inventorship Statement

The inventorship for all the claims in this application is the same.

6. Language

English

7. Fee Calculation (37 C.F.R. Section 1.16)

Regular Application

CLAIMS AS FILED						Basic Fee 37 CFR 1.16(a) \$710.00
Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate		
Total Claims (37 CFR 1.16(c))	15	- 20 =	0 x	\$0.00	\$0.00	
Independent Claims (37 CFR 1.16(b))	5	- 3 =	2 x 40.00	\$80.00	\$160.00	
Multiple Dependent Claim(s), if any (37 CFR 1.16(d))			+ \$0.00	\$0.00	\$0.00	
Filing Fee Calculation						\$870.00

8. Small Entity Statement(s)

This is a filing by a small entity under 37 C.F.R. Sections 1.9 and 1.27.

Filing Fee Calculation (50% of above) \$435.00

9. Fee Payment Being Made at This Time

Enclosed

Filing Fee	\$ 435.00
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Total Fees Enclosed	\$ 435.00
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10. Method of Payment of Fees

Check in the amount of \$435.00 is attached.

11. Authorization to Charge Additional Fees

The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 18-1754.

37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)

37 C.F.R. Section 1.16(b), (c) or (d) (presentation of extra claims)

37 C.F.R. Section 1.17 (application processing fees)

12. Instructions as to Overpayment

Credit Account No. 18-1754.

Date: 7/1/01

DeWitt M. Morgan

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